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Dated: 11/26/03

Signature: *Valerie J. Sarosky*

(Valerie J. Sarosky)

Docket No.: ITI-P01-008
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Cheng et al.

Application No.: 10/656838

Confirmation No.:

Filed: September 5, 2003

Art Unit: N/A

For: CYCLODEXTRIN-BASED POLYMERS
FOR THERAPEUTICS DELIVERY

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed within three months of the U.S. filing date (37 CFR 1.97(b)(1)).

A summary/abstract translation of the non-English language references is enclosed.

A copy of all non-U.S. patent references on PTO/SB/08 are attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent,

publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ITI-P01-008.

Dated: November 26, 2003

Respectfully submitted,

By 

Matthew P. Vincent

Registration No.: 36,709

ROPES & GRAY LLP

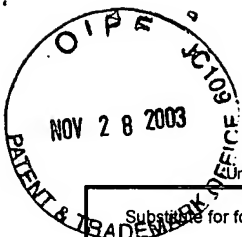
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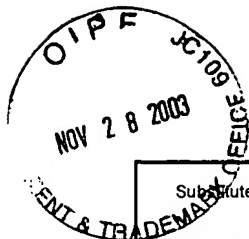
Substituted for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/656838
				Filing Date	September 5, 2003
				First Named Inventor	Jianjun Cheng
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	ITI-P01-008

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-4,291,013	09-22-1981	Wahlig ET AL	
	AB	US-4,347,234	08-31-1982	Wahlig ET AL	
	AC	US-4,438,253	03-20-1984	Casey ET AL	
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	AH	US-4,587,268	05-06-1986	Pfirrmann	
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	AJ	US-4,652,441	03-24-1987	Okada ET AL	
	AK	US-4,675,381	06-23-1987	Bichon	
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	AM	US-5,100,669	03-31-1992	Hyon ET AL	
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	AO	US-5,276,088	01-04-1994	Yoshinaga	
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	AR	US-6,509,323-B1	01-21-2003	Davis ET AL	
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	AT	US-2003/0008818-A1	01-09-2003	Pun ET AL	
	AU	US-2003/0017972-A1	01-23-2003	Pun ET AL	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
	BA	EP 0 730 869-A1	09-11-1996	Yui, Nobuhiko		
	BB	WO 93/24150-A1	12-09-1993	Zeneca Limited		
	BC	WO 99/61062-A1	12-02-1999	Massachusetts Institute of Technology		
	BD	WO 00/33885-A1	06-15-2000	California Institute of Technology		
	BE	FR 2 665 169-A1	01-31-1992	Rhone-Poulenc Chimie (FR)		
	BF	EP 0 258 780-A2	03-09-1988	American Cyanamid Company		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/656838
				Filing Date	September 5, 2003
				First Named Inventor	Jianjun Cheng
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	ITI-P01-008

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	U.S. Application No. 09/339,818, filed June 25, 1999, Davis, et al.	
	CB	U.S. Application No. 09/453,707, filed December 3, 1999, Davis, et al.	
	CC	U.S. Application No. 10/372,723, filed February 24, 2003, Bellocq, et al.	
	CD	U.S. Application No. 60/417,373 filed October 9, 2002, Bellocq, et al.	
	CE	Melton, L.D. and Slessor, K.N., Carbohydrate Research, 18, p. 29 (1971)	
	CF	Mungall et al., J. Org. Chem. 1659-1662	
	CG	Tabushi et al., Tetrahedron Lett. 18:1527-1530 (1977)	
	CH	D. F. Williams, J. Mater. Sci. 1233 (1982)	
	CI	Tabushi et al., J. Am. Chem. Soc. 106, 5267-5270 (1984)	
	CJ	Tabushi et al., J. Am. Chem. Soc. 106, 4580-4584 (1984)	
	CK	Hisamatsu et al., Starch 44:188-191 (1992)	
	CL	Husain et al., Applied Spectroscopy, 46:652-658 (1992)	
	CM	T. Cserhati, Analytical Biochemistry, 225:328-332 (1995)	
	CN	Putnam, et al., Nature Med. 824-826 (1996)	
	CO	Zhang et al., J. Am. Chem. Soc. (1997), 119, 1676-1681	
	CP	Suh, J. and Noh, Y., Bioorg. Med. Chem. Lett. (1998), 8, 1327-1330	
	CQ	Comprehensive Supramolecular Chemistry, Volume 3, J.L. Atwood et al., eds., Pergamon Press (1996)	

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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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